| Page 2 of 5 | FORM PTO-1449 | Atty. Docket No.:<br>H0005440-9950<br>(1161.1142101) | Serial No.:<br>10/726,245 |  |
|-------------|---------------|--|---------------------------|--|
| •           |               | Applicant: John B. Amundson et al                    |                           |  |

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

| Filing Date:     | Group Art: |
|------------------|------------|
| December 2, 2003 | unknown    |

|     | T 4 007 000          | 102/1001        | To 1 - 1        |
|-----|----------------------|-----------------|-----------------|
| TP  | 4,997,029            | 03/1991         | Otsuka et al.   |
| · . | 5,012,973            | 05/1991         | Dick et al.     |
|     | 5,038,851            | 08/1991         | Metha           |
|     | 5,065,813            | 11/1991         | Berkeley et al. |
|     | 5,088,645            | 02/1992         | Bell            |
|     | 5,161,606            | 11/1992         | Berkeley et al. |
|     | 5,181,653            | 01/1993         | Foster et al.   |
|     | 5,230,482            | 07/1993         | Ratz et al.     |
|     | 5,259,445            | 11/1993         | Pratt et al.    |
|     | 5,329,991            | 07/1994         | Metha et al.    |
|     | 5,348,078            | 09/1994         | Dushane et al.  |
| •   | 5,482,209            | 01/1996         | Cochran et al.  |
|     | 5,526,422            | 06/1996         | Keen            |
|     | 5,537,106            | 07/1996         | Mitcuhashi      |
|     | 5,566,879            | 10/1996         | Longtin         |
|     | 5,570,837            | 11/1996         | Brown et al.    |
|     | 5,673,850            | 10/1997         | Uptegraph       |
|     | 5,782,296            | 07/1998         | Metha           |
|     | 5,873,519            | 02/1999         | Beilfuss .      |
|     | <del>5,901,183</del> | 05/1999 5902183 | D' Souza        |
|     | 5,902,183            | 05/1999         | D' Souza        |
|     | 5,937,942            | 08/1999         | Bias et al.     |
|     | 6,032,867            | 03/2000         | Dushane et al.  |
|     | 6,196,467            | 03/2001 .       | Dushane et al.  |
|     | 6,208,331            | 03/2001         | Singh et al.    |
|     | 6,315,211            | 11/2001         | Sartain et al.  |
|     | 6,318,639            | 11/2001         | Toth            |
|     | 6,351,693            | 02/2002         | Monie et al.    |
|     | 6,502,758            | 01/2003         | Cottrell        |
|     | 6,518,957            | 02/2003         | Lehtinen et al. |
|     | 6,581,846            | 06/2003         | Rosen           |
| TP  | 6,595,430            | 07/2003         | Shah            |



|               | Ontaine a valid OMB control number.             | olete If K             | Com                                   |                     | and landilla                                   | r                         |                  |  |                       |
|---------------|---|------------------------|---------------------------------------|---------------------|--|---------------------------|------------------|--|-----------------------|
| VEN           | 45 RECE   | 0/726,24               | in Number                             | Anni                | Substitute for form 1449/PTO                   |                           |                  |  |                       |
| ( PELITY      | or 2, 2003 CENTRAL FAX                          |                        |                                       | Filing              |  |                           |                  |  |                       |
|               | Amundson  |                        | ned Inventor                          |                     | SURE   | DISCLOS                   | RMATION          | FOF  | IN                    |
| 2005          |   | nknown                 |                                       | ARU                 | CANT   | SY APPLIC                 | EMENT E          | TAT  | S                     |
| 2003          |   | inknown                | Name                                  |                     |  | ets 44 0000334/y)         |                  |  | _                     |
|               | 9950(1161.1142101)                              |                        |                                       |                     |  | of                        |                  | т—   | Ch ==1                |
|               |   |                        | · · · · · · · · · · · · · · · · · · · |                     |  |                           |                  | <del></del>                                      | Sheet                 |
| 4             | Pages, Columns, Unes, Where                     | <del></del>            | Name of Patentine of                  | TENT DOC            | U. S. PA                                       | 100                       |                  | - Alex 1   |                       |
| 1             | Reloveni Pessagos or Relovent<br>Figures Appear | ent                    | pleant of Cited Docu                  |                     | MM-DO-YY                                       | d Number                  |                  | Cha<br>No.                                       | reminer<br>Histor     |
| ┫             | - Advisor Appear                                | 112/2                  | 2000 1000                             | 2 6-4               | 04/47/200                                      | Cods <sup>3</sup> // word | Number-Kind      |  | -0                    |
| 1             |   | 2722  <br>23 <i>46</i> | 2002 (000<br>d et al.2002/U           |                     | 01/17/200                                      |                           | US: 2002/005     |  | 1-1                   |
| 1/            | ΔΙ  |                        |                                       |                     | 02/21/200                                      |                           | US- 2002/022     |  | <u> </u>              |
| 1 1/          | <u> </u>  | TOW                    | on et al. 2002                        |                     | 05/23/200                                      |                           | US- 2002/069     |  | IV,                   |
| 4 ' <i>\'</i> |   |                        |                                       | 3 Sha               | 07/22/200                                      | 0                         | US- 6,595,430    |  | 18                    |
| 4             | ·   |                        |                                       |                     | ļ  |                           | US-              |  |                       |
| 1             |   |                        |                                       |                     |  | ·                         | <b>55</b> -      |  |                       |
| 1             |   |                        |                                       |                     |  | 1                         | U\$-             |  |                       |
|               | <u> </u>  | 1                      |                                       |                     |  |                           | <b>9</b>         |  |                       |
| ]             |   |                        |                                       |                     |  | !                         | U\$-             |  |                       |
| ]             |   |                        |                                       |                     |  |                           | US-              |  | ,                     |
| 7             |   |                        |                                       |                     |  |                           | US-              |  |                       |
| Ί.            |   |                        |                                       |                     | 1  |                           | US-              |  |                       |
| 7             |   |                        |                                       |                     |  |                           | US-              |  |                       |
| 7             |   |                        |                                       |                     | 1  | ī                         | US- ;            |  |                       |
| 7             |   |                        |                                       |                     |  |                           | US-              |  |                       |
| 7             |   |                        |                                       |                     | 1  |                           | US-              | <b>-</b>   |                       |
| 1             |   |                        |                                       |                     | <b>†</b>                                       |                           | U8-              |  |                       |
| 7             |   |                        |                                       | _                   | 1  |                           | US-              | ·  |                       |
| <b>†</b>      |   |                        |                                       |                     | +  |                           | us               | -  |                       |
| J             | L   | 1                      |                                       |                     | <del></del>                                    |                           |                  | L  |                       |
| 7             | Pages, Columna, Lines.                          | ***                    | MENTS<br>Name of Peter                | PATENTO             | FOREIGN  |                           |                  |  |                       |
|               | Where Relovant Passages                         |                        | Applicant of Ches                     | Publication<br>Date |  | ocument                   | Foreign Peters D | Cito<br>No.                                      | Exeminer<br>Initials* |
|               | Or Relevant Figures Appear T                    |                        | H-00-YYYY                             |                     | Courses Codes Trumber * Titing Codes (# known) |                           |                  |  |                       |
| ]             |   |                        | Pointset Corp                         | 07/27/20            |  |                           | WO 00/4387       |  | TP                    |
| <b>1</b>      |   |                        | 1                                     |                     |  |                           | l                | <del> </del>                                     | <del></del>           |
| ٦٠            |   |                        |                                       |                     |  |                           |                  | _  |                       |
| 7             |   |                        |                                       |                     |  | ·                         |                  | <del>                                     </del> |                       |
| 7             |   |                        |                                       |                     |  |                           |                  |  |                       |
| 1             |   |                        |                                       |                     |  |                           |                  | <del>                                     </del> |                       |
| _             |   |                        |                                       |                     |  |                           | A                | ļ  |                       |
| 1             | 12/15/05  | maidered               | b                                     |                     | han  | Th 11                     |                  | 1  | Examinar<br>Signature |

Impanies patent documents. We indication of the year of the regin of the Emperor must precede the sent number of the patent document. \*\*10nd of document by the appropriate symbols as indicated on the document under WIPO Standard 87.18 if possible. \*\* Applicant is to place a check mark here if English tanguage Translation to statched.

This collection of Information is required by 37 CFR 1.57 and 1.96. The bromation is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. \*Localization is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection or estimated to take 2 hours to complete, including patheting, propering, and admitting the completed application form to the USPTO. There will vary depending upon the institution on the amount of three you require to the origination for authorises to reducing this burders, should be sent to the Chief Information Officer, U.S. Patient and Trafferant Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND PEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Committee for Patients, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the farm, cell 1-800-PTO-9199 (1-800-786-9199) and select option 2.

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT Page 1 of 2 Atty. Docket No.: H0005440-9950 (1161.1142101) Applicant: John B. Amundson et al. Filing Date: December 2, 2003 unknown

## **U.S. PATENT DOCUMENTS**

| Examiner<br>Initial | Document No. | Date                  | Name           | Filing Date If Appropriate |
|---------------------|--------------|-----------------------|----------------|----------------------------|
| TP                  | 2001/0042684 | 11/2001               | Essalik et al. |                            |
|                     | 2001/0052459 | 12/2001               | Essalik et al. |                            |
|                     | 2002/0092779 | 07/2002               | Essalik et al. |                            |
|                     | 2003/0150926 | 02/2002               | Rosen ∞(2003   |                            |
|                     | 2003/0150927 | -11/2002              | Rosen ()8/2003 |                            |
|                     | 2004/0074978 | _ <del>09/200</del> 3 | Rosen 04/2004  |                            |
|                     | 5,947,372    | 09/1999               | Tiernan        |                            |
|                     | 6,290,140    | 09/2001               | Pesko et al.   |                            |
|                     | 6,398,118    | 06/2002               | Rosen et al.   |                            |
|                     | 6,578,770    | 06/2003               | Rosen          |                            |
|                     | 6,580,950    | 06/2003               | Johnson et al. |                            |
| TP                  | 6,786,421    | 09/2004               | Rosen          |                            |

KS 11-07-06

## FOREIGN PATENT DOCUMENTS

| Examiner<br>Initial | Document No. | Date    | Country | Translation<br>Yes No |
|---------------------|--------------|---------|---------|-----------------------|
| TP                  | EP0678204    | 03/2000 | EP      |                       |
|                     |              |         |         |                       |
|                     |              |         |         |                       |

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

|    | Prolifix Inc., "Web Enabled IP Thermostats," 2 pages, prior to filing date of present application. |
|----|--|
| TP | ADT Security Systems, "iCenter Advanced User Interface 8142ADT User Guide," pp 1-136, 2001.        |
| TP | DeKoven et al., "Measuring Task Models in Designing Intelligent Products," pp 188-189, 2002.       |

|           | 11     |                  | / / -    |
|-----------|--------|------------------|----------|
| EXAMINER: | Mullan | DATE CONSIDERED: | 12/15/05 |
|           |        | DATE CONSIDERED. | 12/13/19 |

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.